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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

DE LA MONTE *et al.*

Appl. No. 09/964,412

Filed: September 28, 2001

For: **Transgenic Animals and Cell  
Lines for Screening Drugs  
Effective for the Treatment or  
Prevention of Alzheimer's Disease**

Confirmation No. 2051

Art Unit: 1633

Examiner: *To be assigned*

Atty. Docket: 0609.4370004/RWE/FRC

**Reply to Notice to File Corrected Application Papers**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In reply to the Notice to File Corrected Application Papers dated April 10, 2002, Applicants submit herewith 16 sheets of substitute drawings in compliance with 37 CFR § 1.84: Figures 1A, 1B, 1C, 2A-2F, 3A-3B, 3C-3F, 4A-4H, 5A-5B, 6A-6G, 7A-7B, 7C, 8A-8B, 8C-8D, 9A-9B, 10A-10B, 11 and 12. These substitute drawings correspond to the drawings submitted with the above-captioned application. Identification of the drawings is provided in accordance with 37 C.F.R. § 1.84(c).

It is not believed that an extension of time is required, other than any already provided herewith. However, if an extension of time is needed to prevent abandonment of the application, then such extension of time is hereby petitioned. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Frank R. Cottingham  
Attorney for Applicants  
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Date: 5/09/02

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\*Admitted only in Texas

May 9, 2002

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Commissioner for Patents  
Washington, D.C. 20231

Art Unit 1633

Re: U.S. Utility Patent Application  
Appl. No. 09/964,412; Filed: September 28, 2001  
For: **Transgenic Animals and Cell Lines for Screening Drugs Effective for  
the Treatment or Prevention of Alzheimer's Disease**  
Inventors: de la Monte *et al.*  
Our Ref: 0609.4370004/RWE/FRC

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Notice to File Corrected Application Papers;
2. Reply to Notice to File Corrected Application Papers;
3. 16 sheets of *substitute* drawings: (Figures 1A, 1B, 1C, 2A-2F, 3A-3B, 3C-3F, 4A-4H, 5A-5B, 6A-6G, 7A-7B, 7C, 8A-8B, 8C-8D, 9A-9B, 10A-10B, and 11-12);
4. Copy of Withdrawal of Previously Sent Notice; and
5. Return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents

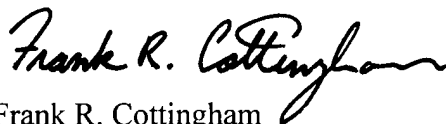
May 9, 2002

Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

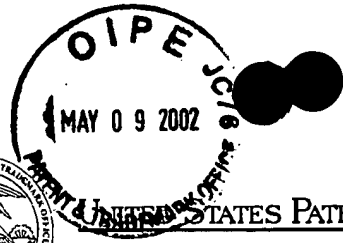
STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Frank R. Cottingham  
Attorney for Applicants  
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FRC/pcd  
Enclosures

SKGF\_DC1:11820.1



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/964,412	09/28/2001	Suzanne De La Monte	0609.4370004

CONFIRMATION NO. 2051

26111  
STERNE, KESSLER, GOLDSTEIN & FOX PLLC  
1100 NEW YORK AVENUE, N.W., SUITE 600  
WASHINGTON, DC 20005-3934

FORMALITIES LETTER



\*OC000000007839364\*

Date Mailed: 04/10/2002

NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

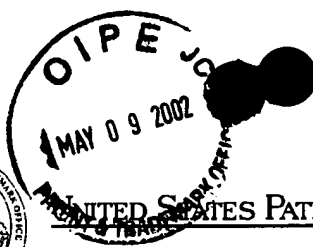
The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/964,412		Suzanne De La Monte	0609.4370004

CONFIRMATION NO. 2051

26111  
STERNE, KESSLER, GOLDSTEIN & FOX PLLC  
1100 NEW YORK AVENUE, N.W., SUITE 600  
WASHINGTON, DC 20005-3934

## WITHDRAWAL NOTICE



\*OC000000007607676\*

Date Mailed: 04/10/2002

## WITHDRAWAL OF PREVIOUSLY SENT NOTICE

The Notice mailed on 12/05/2001 was sent in error and is hereby withdrawn. A corrected Notice is enclosed. The time period for reply runs from the mail date of the corrected Notice. We apologize for any inconvenience this caused.

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*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY